

Table of Contents

CHAPTER 1

INTRODUCTION TO DISCOVERY

by Robert L. Gegios and Stuart J. Check

- I. Scope of Chapter [§ 1.1]**
- II. Legislative and Judicial Approach to Discovery [§ 1.2]**
 - A. Judicial Involvement in Discovery [§ 1.3]
 - B. Broad Scope of Permissible Discovery [§ 1.4]
- III. General Objectives and Tactical Uses of Discovery [§ 1.5]**
 - A. In General [§ 1.6]
 - B. Discovering Unknown Facts [§ 1.7]
 - C. Narrowing Issues for Trial [§ 1.8]
 - D. Preserving Evidence for Trial [§ 1.9]
 - E. Obtaining Testimony of Witnesses Unavailable for Trial [§ 1.10]
 - F. Obtaining Testimony for Impeachment at Trial [§ 1.11]
 - G. Obtaining Testimony Before Circumstances Change [§ 1.12]
 - H. Evaluating Conduct of Witnesses and Counsel [§ 1.13]
 - I. Promoting Out-of-Court Settlement [§ 1.14]
 - J. Resolving Pretrial Motions [§ 1.15]
- IV. Availability of Discovery Procedures [§ 1.16]**
 - A. When Discovery Is Available [§ 1.17]
 - 1. In General [§ 1.18]
 - 2. Interrogatories [§ 1.19]
 - 3. Requests to Inspect Documents, Things, and Places [§ 1.20]
 - 4. Requests for Admission [§ 1.21]
 - 5. Depositions [§ 1.22]
 - 6. Physical, Mental, and Vocational Examinations [§ 1.23]
 - 7. Depositions to Perpetuate Testimony [§ 1.24]
 - B. Persons from Whom Discovery Is Available [§ 1.25]
 - C. Stipulations to Modify Statutory Discovery Procedures [§ 1.26]
- V. Formal Discovery Procedures: An Overview [§ 1.27]**
 - A. Interrogatories [§ 1.28]
 - B. Requests to Inspect Information, Things, and Places [§ 1.29]
 - C. Oral Depositions [§ 1.30]
 - D. Written Depositions [§ 1.31]
 - E. Physical, Mental, and Vocational Examinations [§ 1.32]
 - F. Requests for Admission [§ 1.33]
- VI. Informal Discovery [§ 1.34]**
- VII. Drawbacks and Disadvantages of Discovery [§ 1.35]**
- VIII. Supplementation of Responses [§ 1.36]**

IX. Sequence of Discovery Procedures [§ 1.37]

X. Judicial Supervision and Enforcement [§ 1.38]

- A. In General [§ 1.39]
- B. Timing [§ 1.40]
- C. Amount of Discovery [§ 1.41]
- D. Protective Orders [§ 1.42]
- E. Motions to Compel Discovery [§ 1.43]
- F. Sanctions [§ 1.44]
- G. Appellate Review [§ 1.45]

XI. Federal and Wisconsin Rules Compared [§ 1.46]

CHAPTER 2

SCOPE OF DISCOVERY

by Robert L. Gegios and Stuart J. Check

I. Scope of Chapter [§ 2.1]

II. Informal Discovery [§ 2.2]

- A. In General [§ 2.3]
- B. Statements [§ 2.4]
- C. Protected Information [§ 2.5]
- D. Communications with Employees and Former Employees [§ 2.6]
 - 1. In General [§ 2.7]
 - 2. What Constitutes Knowing [§ 2.8]
 - 3. Employees [§ 2.9]
 - a. Current Employees Covered by Ethics Rules [§ 2.10]
 - b. Current Employees Not Covered by Ethics Rules [§ 2.11]
 - 4. Former Employees [§ 2.12]

III. Formal Discovery: Wis. Stat. Ch. 804 [§ 2.13]

- A. In General [§ 2.14]
- B. Relevance Tests [§ 2.15]
 - 1. Historical Test for Relevance to Subject Matter of Action and New Standard of Relevance to Any Party’s Claim or Defense [§ 2.16]
 - 2. Proportional to the Needs of the Case [§ 2.17]
- C. Discoverability of Specific Kinds of Information [§ 2.18]
 - 1. Insurance Information [§ 2.19]
 - 2. Privileged Information [§ 2.20]
 - 3. Facts Known and Opinions Held by Experts [§ 2.21]

IV. Limitations on Scope of Discovery [§ 2.22]

- A. Introduction [§ 2.23]
- B. Privileges [§ 2.24]
 - 1. In General [§ 2.25]
 - 2. Assertion of Privilege [§ 2.26]
 - 3. List of Privileges Recognized in Wisconsin [§ 2.27]
 - 4. Specific Privileges [§ 2.28]
 - a. Attorney-Client Privilege [§ 2.29]

- (1) In General [§ 2.30]
 - (2) Elements of Privilege [§ 2.31]
 - (3) Scope of Privilege [§ 2.32]
 - (4) Exceptions to Privilege [§ 2.33]
 - (5) In-House Counsel [§ 2.34]
 - b. Health-Care Provider–Patient Privilege [§ 2.35]
 - (1) In General [§ 2.36]
 - (2) Exceptions to Privilege [§ 2.37]
 - c. Privilege for Information Acquired and Conclusions Reached in Review or Evaluation of Health-Care Provider Services [§ 2.38]
 - d. Spousal Communications Privilege [§ 2.39]
 - (1) Elements and Scope of Privilege [§ 2.40]
 - (2) Exceptions to Privilege [§ 2.41]
 - e. Privilege for Communications with Clergy [§ 2.42]
 - f. Trade Secret Privilege [§ 2.43]
 - g. Privilege Against Self-Incrimination [§ 2.44]
- C. Work-Product Protection [§ 2.45]
 - 1. In General [§ 2.46]
 - 2. What Constitutes Work Product [§ 2.47]
 - a. General Definition [§ 2.48]
 - b. Specific Examples [§ 2.49]
 - 3. Good-Cause Showing for Production of Work Product [§ 2.50]
- D. Other Sources of Protection [§ 2.51]
 - 1. Privacy Protection [§ 2.52]
 - 2. Protection for Confidential Sources of News Media [§ 2.53]
 - 3. Other Discovery Limitations Potentially Available to Businesses and Corporations [§ 2.54]
 - a. Critical Self-Analysis Doctrine [§ 2.55]
 - b. White Knight or Business Strategy “Privilege” [§ 2.56]
 - c. Special Litigation Committee Deliberations in Derivative Actions: Business Judgment Doctrine [§ 2.57]
 - d. Governmental Privilege: No “Closed Meeting” Privilege in Wisconsin [§ 2.58]
- E. Protective Orders [§ 2.59]

V. Application of Waiver Doctrine [§ 2.60]

- A. Waiver or Forfeiture by Failure to Assert Privilege or Immunity [§ 2.61]
- B. Waiver by Voluntary Disclosure [§ 2.62]
 - 1. In General [§ 2.63]
 - 2. Scope of Waiver [§ 2.64]
- C. Waiver or Forfeiture by Inadvertent Disclosure [§ 2.65]
 - 1. In General [§ 2.66]
 - 2. Clawback Agreements [§ 2.67]
 - 3. Inadvertent Disclosure Under the Wisconsin Rules [§ 2.68]
 - 4. Inadvertent Disclosure Under Fed. R. Evid. 502 [§ 2.69]
- D. Waiver by Use of Privileged Material to Refresh Memory [§ 2.70]
- E. Waiver by Making Claim or Defense in Action [§ 2.71]
- F. Waiver by Stipulation [§ 2.72]

CHAPTER 3
DEPOSITIONS

by David S. Blinka

- I. Scope of Chapter [§ 3.1]**
- II. In General [§ 3.2]**
- III. Permissible Deponents [§ 3.3]**
 - A. In General [§ 3.4]
 - B. Limitations [§ 3.5]
 - 1. Governmental Officials [§ 3.6]
 - 2. Parties’ Attorneys [§ 3.7]
 - 3. Expert Witnesses [§ 3.8]
 - 4. Third-Party Experts [§ 3.9]
 - C. Objections to Deponents [§ 3.10]
- IV. Oral Depositions [§ 3.11]**
 - A. Procedure [§ 3.12]
 - 1. Time of Deposition [§ 3.13]
 - a. In General [§ 3.14]
 - b. Depositions Before Court Action [§ 3.15]
 - (1) Petitioner [§ 3.16]
 - (2) Subject Matter [§ 3.17]
 - (3) Procedure [§ 3.18]
 - c. Depositions After Commencement of Court Action [§ 3.19]
 - d. Depositions Pending Appeal [§ 3.20]
 - (1) In General [§ 3.21]
 - (2) Subject Matter [§ 3.22]
 - (3) Procedure [§ 3.23]
 - e. Strategies [§ 3.24]
 - 2. Deposition Location [§ 3.25]
 - a. Party Deponents [§ 3.26]
 - b. Nonparty Deponents [§ 3.27]
 - c. Agreements on Location [§ 3.28]
 - (1) Party Deponents [§ 3.29]
 - (2) Nonparty Deponents [§ 3.30]
 - (3) Remotely Located Individuals [§ 3.31]
 - d. Strategies [§ 3.32]
 - e. Objections to Location [§ 3.33]
 - 3. Compelling Deponents’ Attendance [§ 3.34]
 - a. Party Deponents [§ 3.35]
 - (1) In General [§ 3.36]
 - (2) Form of Notice [§ 3.37]
 - (3) Corporate Party Designees [§ 3.38]
 - (4) Witness Fees [§ 3.39]
 - (5) Service of Notice [§ 3.40]
 - (6) Time of Notice [§ 3.41]
 - (7) Objections to Notice [§ 3.42]
 - (8) Failure to Appear [§ 3.43]
 - (9) Failure to Serve Notice [§ 3.44]

- b. Nonparty Deponents [§ 3.45]
 - (1) Form of Subpoena [§ 3.46]
 - (2) Corporate Nonparty Designees [§ 3.47]
 - (3) Witness Fees [§ 3.48]
 - (4) Service of Subpoena [§ 3.49]
 - (5) Objections to Subpoena [§ 3.50]
 - (6) Failure to Appear [§ 3.51]
 - (7) Failure to Subpoena [§ 3.52]
- 4. Compelling Production of Materials [§ 3.53]
 - a. Procedure [§ 3.54]
 - b. Objections to Requests for Production of Materials [§ 3.55]
 - c. Records Production [§ 3.56]
 - d. Strategies [§ 3.57]
- 5. Notifying the Parties [§ 3.58]
- 6. Deposition Officers § 3.59]
 - a. Qualifications [§ 3.60]
 - b. Duties [§ 3.61]
- 7. Manner of Recording [§ 3.62]
 - a. Stenographic [§ 3.63]
 - b. Audiotape [§ 3.64]
 - c. Videotape [§ 3.65]
 - (1) In General [§ 3.66]
 - (2) Notice and Subpoena [§ 3.67]
 - (3) Equipment [§ 3.68]
 - (4) Conducting the Deposition [§ 3.69]
 - (5) Witness Review [§ 3.70]
 - (6) Certification [§ 3.71]
 - (7) Preservation [§ 3.72]
 - (8) Objections [§ 3.73]
 - (9) Copies [§ 3.74]
 - (10) Ruling on Objections [§ 3.75]
 - (11) Use in Court Proceedings [§ 3.76]
 - (12) Costs [§ 3.77]
 - (13) Strategies [§ 3.78]
 - d. Other Means: Remote Conferencing Technology [§ 3.79]
- 8. Telephone Depositions [§ 3.80]
 - a. Procedure [§ 3.81]
 - b. Strategies [§ 3.82]
- 9. Exhibits [§ 3.83]
 - a. Original Exhibits [§ 3.84]
 - b. Duplicate Exhibits [§ 3.85]
- 10. Deposition Transcript [§ 3.86]
 - a. Witness Review [§ 3.87]
 - b. Certification [§ 3.88]
 - c. Service [§ 3.89]
 - d. Retention [§ 3.90]
 - e. Copies [§ 3.91]
 - f. Objections [§ 3.92]

- B. Preparation for the Deposition [§ 3.93]
 - 1. Deposing Attorney’s Preparation [§ 3.94]
 - a. Evaluation of Purpose [§ 3.95]
 - b. Questioning Techniques [§ 3.96]
 - (1) Leading Questions [§ 3.97]
 - (2) Open-Ended Questions [§ 3.98]
 - (3) Completing Questions [§ 3.99]
 - (4) Repetitious Questions [§ 3.100]
 - (5) General-to-Specific Questions [§ 3.101]
 - (6) Summary Questions [§ 3.102]
 - (7) Questioning Speed [§ 3.103]
 - (8) Deposing Attorney’s Demeanor [§ 3.104]
 - c. Notes [§ 3.105]
 - d. Preparing for Objections [§ 3.106]
 - 2. Witness’s Attorney’s Preparation [§ 3.107]
 - a. Attorney Preparation [§ 3.108]
 - b. Witness Preparation [§ 3.109]
- C. The Deposition [§ 3.110]
 - 1. Taking the Deposition [§ 3.111]
 - a. Procedure [§ 3.112]
 - b. Objections [§ 3.113]
 - 2. Defending the Deposition [§ 3.114]
 - a. In General [§ 3.115]
 - b. Objections [§ 3.116]
 - c. Questions by Witness’s Attorney [§ 3.117]

- V. Written Depositions [§ 3.118]**
 - A. In General [§ 3.119]
 - B. Notice [§ 3.120]
 - C. Written Questions [§ 3.121]
 - D. Conducting the Deposition [§ 3.122]
 - E. Combination Oral-Written Depositions [§ 3.123]

- VI. Modification of Statutory Procedures [§ 3.124]**
 - A. Introduction [§ 3.125]
 - B. Agreements of Parties [§ 3.126]
 - C. Court Order [§ 3.127]

- VII. Deposition Attendants [§ 3.128]**
 - A. Nonparty Witnesses [§ 3.129]
 - B. Party Witnesses [§ 3.130]
 - C. General Public [§ 3.131]

- VIII. Duty to Supplement [§ 3.132]**
 - A. Party Deponents [§ 3.133]
 - 1. When Duty Arises [§ 3.134]
 - 2. Procedure [§ 3.135]
 - 3. Failure to Supplement [§ 3.136]
 - B. Nonparty Deponents [§ 3.137]

IX. Use of Depositions in Court Proceedings [§ 3.138]

- A. Introduction [§ 3.139]
- B. Admissibility of Depositions Under Wis. Stat. § 804.07 [§ 3.140]
- C. Admissibility of Depositions Under Other Hearsay Exceptions [§ 3.141]
- D. Partial Admission of Depositions [§ 3.142]

X. Judicial Supervision and Enforcement [§ 3.143]

- A. In General [§ 3.144]
- B. Motions for Protection [§ 3.145]
 - 1. Motions for Protective Orders [§ 3.146]
 - 2. Motions to Terminate or Limit Deposition [§ 3.147]
- C. Motions to Compel Discovery and for Sanctions [§ 3.148]
 - 1. In General [§ 3.149]
 - 2. Party Deponents [§ 3.150]
 - a. Motions to Compel an Answer [§ 3.151]
 - b. Motions for Telephone Rulings [§ 3.152]
 - c. Motions for Sanctions for Failure to Attend Deposition [§ 3.153]
 - d. Motions for Sanctions for Failure to Identify Corporate Designee [§ 3.154]
 - e. Motions for Sanctions for Failure to Supplement Deposition Responses [§ 3.155]
 - f. Motions for Sanctions for Failure to Comply with an Order [§ 3.156]
 - 3. Nonparty Deponents [§ 3.157]
 - a. Motions to Compel an Answer [§ 3.158]
 - b. Motions for Telephone Rulings [§ 3.159]
 - c. Motions for Contempt [§ 3.160]

XI. Interjurisdictional Depositions [§ 3.161]

- A. Depositions Taken in Wisconsin [§ 3.162]
- B. Depositions Taken in Other States [§ 3.163]
 - 1. Oral Depositions [§ 3.164]
 - a. Statutory Authority [§ 3.165]
 - b. Compelling Witness’s Attendance [§ 3.166]
 - 2. Written Depositions [§ 3.167]
 - a. Issuing Commission [§ 3.168]
 - b. Executing Commission [§ 3.169]
 - c. Compelling Witness’s Attendance [§ 3.170]
- C. Depositions Taken in Other Countries [§ 3.171]
 - 1. Oral Depositions [§ 3.172]
 - a. Statutory Authority [§ 3.173]
 - b. Compelling Witness’s Attendance [§ 3.174]
 - 2. Written Depositions [§ 3.175]
 - a. Procedure [§ 3.176]
 - b. Compelling Witness’s Attendance [§ 3.177]
- D. Judicial Supervision and Enforcement [§ 3.178]

XII. Forms [§ 3.179]

XIII. Appendices [§ 3.180]

- A. Appendix 3A: Petition for Order Authorizing Depositions to Perpetuate Testimony [§ 3.181]
- B. Appendix 3B: Notice and Motion for Order Authorizing Depositions to Perpetuate Testimony [§ 3.182]

- C. Appendix 3C: Order Authorizing Deposition to Perpetuate Testimony [§ 3.183]
- D. Appendix 3D: Notice of Oral Deposition [§ 3.184]
- E. Appendix 3E: Deposition Subpoena (Duces Tecum) [§ 3.185]
- F. Appendix 3F: Subpoena for Videotaped Deposition [§ 3.186]
- G. Appendix 3G: Notice of Intent to Take Deposition upon Written Questions [§ 3.187]
- H. Appendix 3H: Deposition Subpoena (Duces Tecum)—for Action in Foreign Jurisdiction [§ 3.188]

CHAPTER 4
INTERROGATORIES

by C. Kai Hovden and Laurel C. Montag

I. Scope of Chapter [§ 4.1]

II. In General [§ 4.2]

- A. Nature and Purpose of Interrogatories [§ 4.3]
- B. Relationship to and Significance of Federal Rules [§ 4.4]
- C. Other Discovery Procedures Compared [§ 4.5]

III. Submitting Interrogatories [§ 4.6]

- A. Scope of Interrogatories [§ 4.7]
- B. Procedure [§ 4.8]
 - 1. When Interrogatories May Be Served [§ 4.9]
 - 2. Who May Serve and Be Served [§ 4.10]
 - 3. Service of Interrogatories [§ 4.11]
- C. Format [§ 4.12]
 - 1. Technical Requirements [§ 4.13]
 - 2. Instructions and Definitions [§ 4.14]
 - 3. Drafting Interrogatories [§ 4.15]
- D. Content of Interrogatories [§ 4.16]
 - 1. In General [§ 4.17]
 - 2. Identity of Persons with Knowledge of Discoverable Matters [§ 4.18]
 - 3. Identity of Experts [§ 4.19]
 - 4. Existence of Documents [§ 4.20]
 - 5. Existence of Insurance [§ 4.21]
 - 6. Opinions and Contentions [§ 4.22]
 - 7. Other Matters [§ 4.23]

IV. Answering Interrogatories [§ 4.24]

- A. Duty to Answer [§ 4.25]
- B. Procedure [§ 4.26]
 - 1. When to Answer [§ 4.27]
 - 2. Who May Answer [§ 4.28]
 - 3. Service of Answers to Interrogatories [§ 4.29]
- C. Format [§ 4.30]
 - 1. Technical Requirements [§ 4.31]
 - 2. Responding to Instructions and Definitions [§ 4.32]
 - 3. Drafting Answers [§ 4.33]

- D. Content of Answers [§ 4.34]
 - 1. In General [§ 4.35]
 - 2. Specific Areas of Inquiry [§ 4.36]
 - E. Alternatives to Answers [§ 4.37]
 - 1. In General [§ 4.38]
 - 2. Option to Produce Business Records [§ 4.39]
 - 3. Protective Orders [§ 4.40]
 - 4. Objections [§ 4.41]
 - F. Duty to Supplement or Amend [§ 4.42]
- V. Using Interrogatories at Trial [§ 4.43]**
- VI. Judicial Supervision and Enforcement [§ 4.44]**
- A. Protective Orders [§ 4.45]
 - B. Orders Compelling Discovery and for Sanctions [§ 4.46]
- VII. Forms [§ 4.47]**
- VIII. Appendices [§ 4.48]**
- A. Appendix 4A: Sample Interrogatories [§ 4.49]
 - B. Appendix 4B: Sample Response to Interrogatories [§ 4.50]
 - C. Appendix 4C: Sample Combination Interrogatories and Request for Production of Documents [§ 4.51]

CHAPTER 5
REQUESTS FOR ADMISSION
by Richard Orton

- I. Scope of Chapter [§ 5.1]**
- II. In General [§ 5.2]**
- A. Nature and Purpose of Requests for Admissions [§ 5.3]
 - 1. In General [§ 5.4]
 - 2. Narrowing Issues for Trial [§ 5.5]
 - 3. Establishing Undisputed Facts for Purposes of Summary Judgment [§ 5.6]
 - 4. Expediting Admission of Trial Exhibits [§ 5.7]
 - B. Scope of Requests for Admissions [§ 5.8]
 - C. Effect of Admissions and Denials [§ 5.9]
 - 1. Admissions [§ 5.10]
 - 2. Denials [§ 5.11]
- III. Serving Requests for Admissions [§ 5.12]**
- A. When Requests May Be Served [§ 5.13]
 - B. On Whom Requests May Be Served [§ 5.14]
 - C. Number of Requests Permitted [§ 5.15]
 - D. Format of Requests [§ 5.16]
- IV. Answering Requests for Admissions [§ 5.17]**
- A. Time Limit and Effect of Failure to Answer [§ 5.18]

- B. Format of Response [§ 5.19]
 - 1. In General [§ 5.20]
 - 2. Objections [§ 5.21]
 - 3. Denials [§ 5.22]
 - 4. Statements Alleging Inability to Admit or Deny [§ 5.23]
- C. Withdrawal or Amendment of Admissions [§ 5.24]
 - 1. Two-Step Test [§ 5.25]
 - a. In General [§ 5.26]
 - b. Presentation of the Merits of the Action Will Be Subservd [§ 5.27]
 - c. Party Who Obtained Admission Will Not Be Prejudiced [§ 5.28]
 - 2. Discretion of the Circuit Court to Permit or Deny Withdrawal [§ 5.29]
 - 3. Limited Usefulness of Requests for Admissions [§ 5.30]
 - 4. Additional Practice Tips [§ 5.31]
- D. Duty to Supplement Responses [§ 5.32]

V. Judicial Supervision and Enforcement [§ 5.33]

- A. Sanctions Under Wis. Stat. §§ 804.11 and 804.12(3) [§ 5.34]
- B. Exceptions to Cost-of-Proof Sanctions Under Wis. Stat. § 804.12(3) [§ 5.35]
- C. Reasonable Expenses Under Wis. Stat. § 804.12(3) [§ 5.36]

VI. Forms [§ 5.37]

VII. Appendices [§ 5.38]

- A. Appendix 5A: Sample Requests for Admissions [§ 5.39]
- B. Appendix 5B: Sample Response to Requests for Admissions [§ 5.40]
- C. Appendix 5C: Sample Interrogatories [§ 5.41]
- D. Appendix 5D: Sample Request for Admission—Laddering Approach [§ 5.42]

CHAPTER 6

INSPECTION OF DOCUMENTS, THINGS, AND PLACES

by Bryan Cahill

I. Scope of Chapter [§ 6.1]

II. Production of Documents and Things and Entry upon Land Under Wis. Stat. § 804.09 [§ 6.2]

- A. In General [§ 6.3]
 - 1. Statutory Authority [§ 6.4]
 - 2. Nature and Purpose of Requests for Inspection [§ 6.5]
 - 3. Uses of Requests for Inspection [§ 6.6]
 - 4. Other Discovery Procedures Compared [§ 6.7]
- B. Special Rules for Discovery by Prisoners [§ 6.8]
- C. To Whom Requests for Inspection May Be Directed [§ 6.9]
- D. Scope of Available Inspection [§ 6.10]
 - 1. In General [§ 6.11]
 - 2. Types of Documents, Things, and Land [§ 6.12]
 - a. Documents and Things [§ 6.13]
 - b. Land or Other Property [§ 6.14]
 - 3. Necessity of Existence of Document, Thing, or Land [§ 6.15]

4. Necessity of Possession, Custody, or Control [§ 6.16]
5. Documents or Things Containing Matters Within Knowledge of Requesting Party [§ 6.17]
6. Documents or Things That Are Privileged or Work Product [§ 6.18]
7. Computerized Information [§ 6.19]
 - a. Overview of Discovery of Electronically Stored Information (ESI) [§ 6.20]
 - b. Importance of ESI [§ 6.21]
 - c. Ethical Obligations for Attorneys Transmitting and Receiving ESI [§ 6.22]
 - d. Duty to Preserve ESI [§ 6.23]
 - e. Requirement to Confer [§ 6.24]
 - f. Form of Requests to Produce ESI and Responses [§ 6.25]
 - (1) In General [§ 6.26]
 - (2) Native vs. Non-Native Formats [§ 6.27]
 - (3) Reasonably Accessible Sources [§ 6.28]
 - g. Privilege, Waiver, and Clawback [§ 6.29]
 - (1) Privilege and Waiver: In General [§ 6.30]
 - (2) Clawback in the Federal Courts [§ 6.31]
 - (3) Clawback: Wisconsin’s Approach [§ 6.32]
 - h. Cost-Shifting Issues [§ 6.33]
 - i. Sanctions for Spoliation; Safe Harbor for Routine Loss of ESI [§ 6.34]
 - j. Subpoenas to Third Parties [§ 6.35]
 - k. Additional Considerations [§ 6.36]
- E. Drafting and Service of Request for Inspection [§ 6.37]
 1. Timing of Request [§ 6.38]
 - a. When Request May Be Served [§ 6.39]
 - b. Coordination with Other Discovery [§ 6.40]
 - c. Allowing Time to Resolve Disputes [§ 6.41]
 2. Elements of Request [§ 6.42]
 - a. In General [§ 6.43]
 - b. Designation of Object of Inspection [§ 6.44]
 - c. Specification of Time, Place, and Manner of Inspection [§ 6.45]
 3. Service of Request [§ 6.46]
 4. Filing Request with Court [§ 6.47]
 5. Number of Requests Permitted [§ 6.48]
 6. Scope of Requests Permitted [§ 6.49]
- F. Response to Requests [§ 6.50]
 1. When Response Must Be Served [§ 6.51]
 2. Elements of Response [§ 6.52]
 3. Objections to Requests [§ 6.53]
 - a. Available Objections [§ 6.54]
 - b. Waiver of Objections [§ 6.55]
 4. Motion for Protective Order [§ 6.56]
 5. Practice Considerations in Responding to Requests [§ 6.57]
 6. Duty to Supplement Response [§ 6.58]
- G. Methods of Inspection [§ 6.59]
- H. Recording Inspections [§ 6.60]
- I. Effect of Production on Admissibility in Evidence [§ 6.61]
- J. Judicial Supervision and Enforcement [§ 6.62]
 1. In General [§ 6.63]
 2. Scheduling Order Setting Discovery Deadline [§ 6.64]

3. Order Controlling Sequence of Discovery [§ 6.65]
4. Order Altering Time to Respond to Request for Production [§ 6.66]
5. Order Requiring Supplementation of Response [§ 6.67]
6. Protective Order [§ 6.68]
 - a. In General [§ 6.69]
 - b. Time for Motion [§ 6.70]
 - c. Grounds [§ 6.71]
 - d. Types of Orders [§ 6.72]
7. Orders Compelling Discovery and for Sanctions [§ 6.73]
 - a. In General [§ 6.74]
 - b. Order Compelling Discovery [§ 6.75]
 - c. Order for Sanctions [§ 6.76]

III. Production of Materials by Subpoena Under Wis. Stat. § 805.07 [§ 6.77]

- A. Scope [§ 6.78]
- B. Procedure [§ 6.79]
- C. Judicial Supervision and Enforcement [§ 6.80]
 1. Protective Order Quashing or Modifying Subpoena [§ 6.81]
 - a. In General [§ 6.82]
 - b. Time for Bringing Motion [§ 6.83]
 - c. Grounds [§ 6.84]
 2. Punishment for Failure to Comply with Subpoena [§ 6.85]

IV. Inspection by Stipulation [§ 6.86]

V. Forms [§ 6.87]

VI. Appendices [§ 6.88]

- A. Appendix 6A: Sample Requests to Produce [§ 6.89]
- B. Appendix 6B: Sample Response to Requests to Produce [§ 6.90]
- C. Appendix 6C: Sample Request for Entry [§ 6.91]

CHAPTER 7

ELECTRONIC DISCOVERY LAW

by Matthew Stippich, Robert L. Gegios, and Ryan M. Billings

I. Scope of Chapter [§ 7.1]

II. Overview of Wisconsin’s Electronic Discovery Rules [§ 7.2]

- A. Relationship of Wisconsin’s Electronic Discovery Rules to Federal Practice [§ 7.3]
- B. Scope of Discovery [§ 7.4]
- C. Unique Wisconsin Aspects [§ 7.5]
- D. Wisconsin Commercial Docket Pilot Project [§ 7.6]
- E. Summary of ESI-Specific Provisions [§ 7.7]

III. Introduction to Electronic Discovery Issues [§ 7.8]

- A. In General [§ 7.9]
- B. Application of Existing Principles [§ 7.10]

- C. Electronic Information: Expanded Volume and Vulnerability of Information [§ 7.11]
 - 1. In General [§ 7.12]
 - 2. Expanded Data Storage Capacity [§ 7.13]
 - 3. Expanded Sources of Electronic Information [§ 7.14]
- D. Beyond the Document: Metadata, Logs, and Other Electronic Residue [§ 7.15]
- E. Inherent Vulnerability of Electronically Stored Information [§ 7.16]
- F. The Obligation of Technological Competence [§ 7.17]

IV. Preservation [§ 7.18]

- A. Duty to Preserve Electronic Evidence [§ 7.19]
- B. When Does Duty to Preserve Arise? [§ 7.20]
- C. Litigation Holds [§ 7.21]
- D. Preservation in the Cloud [§ 7.22]
- E. Ongoing Duty to Preserve [§ 7.23]
- F. Preservation Letters [§ 7.24]
 - 1. In General [§ 7.25]
 - 2. Characteristics of a Preservation Letter [§ 7.26]
- G. Discovery Planning Is Best Undertaken in Advance of Litigation [§ 7.27]
- H. Limited Protection for Routine Operations [§ 7.28]
 - 1. Sources of Protection: Wis. Stat. § 804.12(4m) and Fed. R. Civ. P. 37(e) [§ 7.29]
 - 2. Examples of Good-Faith, Routine Operations [§ 7.30]
 - a. In General [§ 7.31]
 - b. *Olson v. Sax*—One Interpretation: Good-Faith Safe Harbor [§ 7.32]
 - 3. Relationship to Litigation Holds and Related Responsibilities [§ 7.33]

V. Early Attention to ESI [§ 7.34]

- A. In General [§ 7.35]
- B. Scheduling Order [§ 7.36]
- C. Mandatory Pre-discovery Conference [§ 7.37]
 - 1. In General [§ 7.38]
 - 2. Topics For Meet and Confer [§ 7.39]
- D. Duty to Negotiate Acceptable Discovery Plan Under Federal Rules [§ 7.40]
- E. Emerging Duty to Cooperate in Defining Scope and Form of Discovery [§ 7.41]
 - 1. Relationship to Principle of Zealous Advocacy [§ 7.42]
 - 2. Cost Concerns and Suggested Practices [§ 7.43]
- F. Search Methodologies [§ 7.44]
- G. The Emerging Use of Artificial Intelligence (AI) [§ 7.45]

VI. Requesting and Producing Electronic Records [§ 7.46]

- A. Forms of Production of Electronic Information [§ 7.47]
- B. Native Format vs. Non-Native Format [§ 7.48]
- C. Duplicative or Alternate Form Requests [§ 7.49]
- D. Metadata: Searchability Issues [§ 7.50]
- E. Is Metadata an Inherent Element of a Document? [§ 7.51]
- F. Option to Produce Business Records in Response to Interrogatories [§ 7.52]

VII. Privileges and Clawback in Electronic Discovery [§ 7.53]

- A. In General [§ 7.54]
- B. Waiver Under Fed. R. Evid. 502 [§ 7.55]
- C. Inadvertent Disclosure Will Not Trigger Wider Subject-Matter Waiver [§ 7.56]
- D. Whether Disclosure Is Inadvertent [§ 7.57]

VIII. Proportionality, Limitations, and Cost-Shifting [§ 7.58]

- A. Proportionality and Limitations on Discovery [§ 7.59]
- B. Cost-Shifting and Accessibility Considerations Under Wisconsin Law [§ 7.60]
- C. Cost-Shifting and Accessibility Considerations Under the Federal Rules [§ 7.61]
 - 1. Fed. R. Civ. P. 26(b)(2)(B): In General [§ 7.62]
 - 2. Sampling [§ 7.63]

IX. Sanctions for Loss or Destruction of Evidence (Spoliation) [§ 7.64]

- A. Availability of Sanctions in Wisconsin Generally [§ 7.65]
- B. Spoliation in the ESI Context: Distinctive Issues [§ 7.66]
- C. Spoliation Sanctions in Federal Courts [§ 7.67]
- D. Standards for Spoliation Sanctions in Wisconsin: In General [§ 7.68]
- E. No Bright-Line Threshold for Sanctionable Behavior [§ 7.69]
 - 1. In General [§ 7.70]
 - 2. Sanctions Can Take Various Forms with Wide Range of Severity [§ 7.71]
 - 3. Examples of Range of Severity and Variety of Sanctions Imposed [§ 7.72]
 - a. Monetary Penalties [§ 7.73]
 - b. Evidentiary Sanctions [§ 7.74]
 - (1) In General [§ 7.75]
 - (2) Imposed Production Protocols [§ 7.76]
 - (3) Exclusion of Testimony [§ 7.77]
 - (4) Adverse-Inference Instruction [§ 7.78]
 - (5) Default Judgment [§ 7.79]
 - (6) Dismissal of Claims [§ 7.80]

CHAPTER 8

PHYSICAL, MENTAL, AND VOCATIONAL EXAMINATIONS

by Robert L. Jaskulski

I. Scope of Chapter [§ 8.1]

II. In General [§ 8.2]

- A. Nature and Purpose of Physical, Mental, and Vocational Examinations [§ 8.3]
- B. Other Discovery Procedures Compared [§ 8.4]

III. Order for Examination [§ 8.5]

- A. Motion for Order for Examination [§ 8.6]
 - 1. By Party [§ 8.7]
 - 2. By Court [§ 8.8]
- B. When Motion May Be Brought [§ 8.9]
- C. Under What Circumstances Examination Is Available [§ 8.10]
 - 1. In Any Civil Action [§ 8.11]
 - 2. Of Any Party [§ 8.12]
 - 3. When Party's Physical or Mental Condition Is in Issue, and for Cause Shown [§ 8.13]
- D. Opposition to Order by Examinee [§ 8.14]

IV. Conduct of Examination [§ 8.15]

- A. In General [§ 8.16]
- B. Location [§ 8.17]
- C. Costs [§ 8.18]
- D. Examiner [§ 8.19]
- E. Attendees [§ 8.20]
- F. Number [§ 8.21]
- G. Nature and Scope [§ 8.22]

V. Examination Reports [§ 8.23]

- A. Delivery to Adverse Party [§ 8.24]
- B. Use at Trial [§ 8.25]

VI. Judicial Supervision and Enforcement [§ 8.26]

- A. Protective Orders [§ 8.27]
- B. Orders Imposing Sanctions [§ 8.28]

VII. Forms [§ 8.29]

VIII. Appendices [§ 8.30]

- A. Appendix 8A: Notice and Motion for Examination [§ 8.31]
- B. Appendix 8B: Affidavit of Moving Attorney [§ 8.32]
- C. Appendix 8C: Affidavit of Examiner [§ 8.33]
- D. Appendix 8D: Order for Examination [§ 8.34]

CHAPTER 9

EXPERTS IN THE DISCOVERY PROCESS

by David E. McFarlane

I. Scope of Chapter [§ 9.1]

II. In General [§ 9.2]

- A. Importance of Expert Witnesses [§ 9.3]
- B. Importance of Rules of Evidence on Expert Testimony [§ 9.4]
- C. Importance of Discovery of Experts [§ 9.5]

III. Rules on Discovery of Experts [§ 9.6]

- A. Wisconsin Rules [§ 9.7]
 - 1. Discovery Rules Specific to Experts [§ 9.8]
 - 2. Additional Generally Applicable Discovery Rules [§ 9.9]
- B. Federal Rules on Discovery of Experts [§ 9.10]

IV. From Whom May Expert Opinion Testimony Be Discovered by Deposition or Interrogatories? [§ 9.11]

- A. Retained Experts and Consultants [§ 9.12]
- B. Unwilling and Unretained Experts: Privilege Not to Give Expert Testimony (Wisconsin Law) [§ 9.13]
- C. Unwilling and Unretained Experts (Federal Law) [§ 9.14]

- D. Disclosure Requirement [§ 9.15]
 - 1. In General [§ 9.16]
 - 2. Experts Who Are Outside the Scope of the Rule [§ 9.17]
 - 3. Experts Within Rules' Scope [§ 9.18]
 - a. Experts Expected to Be Used as Witnesses [§ 9.19]
 - b. Experts Not Expected to Be Used as Witnesses (Consultants) [§ 9.20]
- E. Timing of Disclosure [§ 9.21]

V. What Is Discoverable of Expert Witnesses? [§ 9.22]

- A. In General [§ 9.23]
- B. Prior Testimony and Publications [§ 9.24]
 - 1. Wisconsin Rule for Prior Testimony and Publications [§ 9.25]
 - 2. Federal Rule for Prior Testimony and Publications [§ 9.26]
 - 3. What Information Should Be in a List of Prior Cases? [§ 9.27]
- C. Working Notes and Drafts [§ 9.28]
 - 1. Wisconsin Rule on Working Notes and Drafts [§ 9.29]
 - 2. Federal Rules on Working Notes and Drafts [§ 9.30]
- D. Documents Relied on, Reviewed, or Considered by an Expert [§ 9.31]
 - 1. Wisconsin Rule on Discovery of Documents Relied on, Reviewed, or Considered by an Expert [§ 9.32]
 - 2. Federal Rule on Discovery of Documents Relied on, Reviewed, or Considered by an Expert [§ 9.33]
- E. Information for Impeachment [§ 9.34]
- F. Opinions Beyond Anticipated Testimony [§ 9.35]
- G. Discovery of Expert's Underlying Data and Formulas [§ 9.36]

VI. Methods of Discovery of Experts [§ 9.37]

- A. Interrogatories [§ 9.38]
 - 1. Wisconsin Rules on Expert Discovery by Interrogatories [§ 9.39]
 - 2. Federal Rules on Expert Discovery by Interrogatories [§ 9.40]
- B. Depositions [§ 9.41]
 - 1. Wisconsin Rules on Expert Depositions [§ 9.42]
 - 2. Federal Rules on Expert Depositions [§ 9.43]
 - 3. General Points About Expert Depositions [§ 9.44]

VII. Expert's Fees [§ 9.45]

VIII. Use of Experts in e-Discovery Disputes [§ 9.46]

IX. Judicial Supervision and Enforcement [§ 9.47]

X. Forms [§ 9.48]

XI. Appendices [§ 9.49]

- A. Appendix 9A: Designation of Expert Witnesses [§ 9.50]
- B. Appendix 9B: Expert Witness Interrogatories and Requests to Produce [§ 9.51]
- C. Appendix 9C: Notice of Deposition Duces Tecum [§ 9.52]
- D. Appendix 9D: Topics and Goals to Consider for Deposition of Expert [§ 9.53]

CHAPTER 10
JUDICIAL SUPERVISION AND ENFORCEMENT
by Timothy W. Feeley

- I. Scope of Chapter [§ 10.1]**
- II. Protective Orders [§ 10.2]**
 - A. Types of Protective Orders [§ 10.3]
 - B. Common-Law Guidelines [§ 10.4]
 - C. Procedure [§ 10.5]
 - 1. Tactical Considerations [§ 10.6]
 - 2. Motion [§ 10.7]
 - 3. Response to Motion [§ 10.8]
 - 4. Order [§ 10.9]
 - 5. Award of Expenses [§ 10.10]
- III. Orders Compelling Discovery [§ 10.11]**
 - A. Statutory Authority [§ 10.12]
 - B. Procedure [§ 10.13]
 - 1. Motion [§ 10.14]
 - 2. Order [§ 10.15]
 - 3. Award of Expenses [§ 10.16]
- IV. Sanctions for Failure to Provide Discovery [§ 10.17]**
 - A. Sanctions for Failure to Comply with Discovery Order [§ 10.18]
 - 1. Statutory Authority [§ 10.19]
 - 2. Types of Sanctions [§ 10.20]
 - 3. Procedure [§ 10.21]
 - 4. Award of Expenses in Conjunction with Other Sanctions [§ 10.22]
 - B. Sanctions for Failure to Respond or to Supplement Response to Discovery Request [§ 10.23]
 - C. Due-Process Limitations on Sanctions [§ 10.24]
- V. Appellate Review [§ 10.25]**
- VI. Forms [§ 10.26]**
- VII. Appendices [§ 10.27]**
 - A. Appendix 10A: Notice and Motion for Protective Order [§ 10.28]
 - B. Appendix 10B: Notice and Motion to Compel Discovery [§ 10.29]
 - C. Appendix 10C: Notice and Motion for Sanctions for Failure to Comply with Discovery Order [§ 10.30]
 - D. Appendix 10D: Notice and Motion for Sanctions for Failure to Comply with Discovery Request [§ 10.31]

TABLE OF CASES

INDEX

